

# Dense Matter EOS and applications in Core Collapse SuperNovae and Neutron Stars

Francesca Gulminelli - LPC Caen, France

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# Lecture II: nuclear physics in the neutron star crust and observational consequences

1. The Wigner-Seitz cell and the outer crust
2. The physics of the inner crust
3. Extension to finite temperature
4. The impact of nuclear physics on compact stars
  - a. Mass, radii => EoS parameters
  - b. Crust structure => nuclear masses
  - c. Pulsar glitches => crust-core transition
  - d. Cooling => superfluidity and symmetry energy
  - e. Core collapse => weak processes in n-rich nuclei
  - f. GW emission => EoS parameters



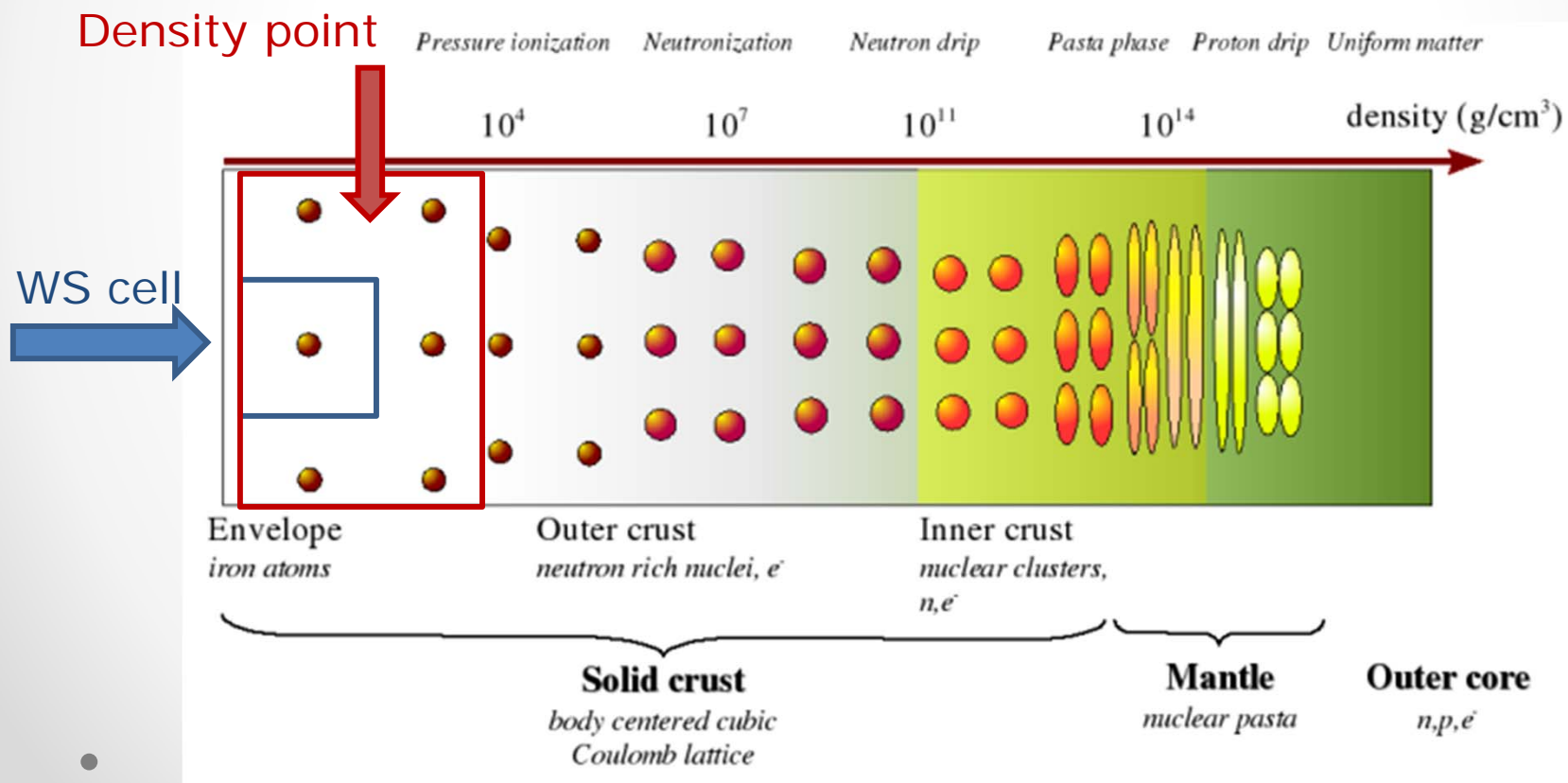
# Lecture II: nuclear physics in the neutron star crust and observational consequences

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# The Wigner-Seitz cell

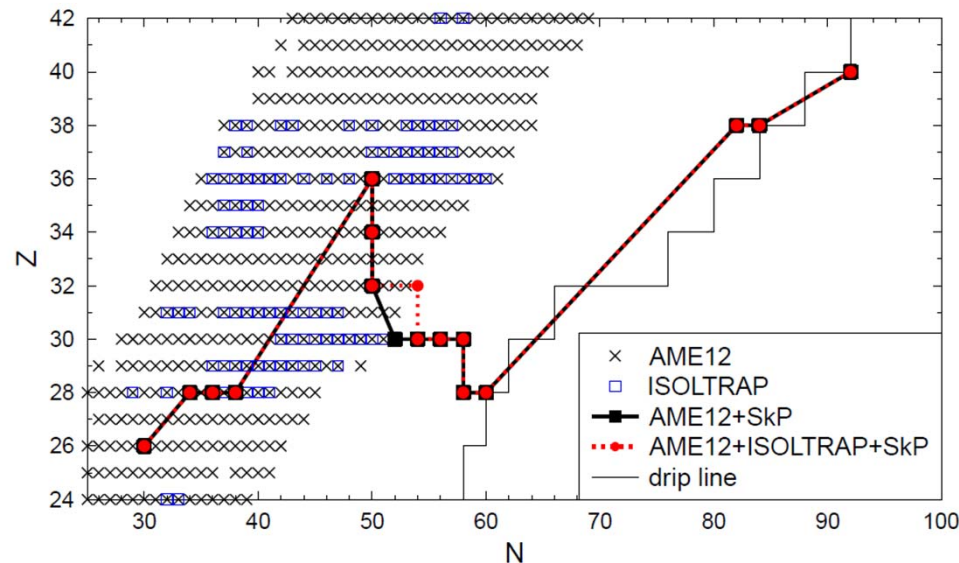
- Below saturation matter is clusterized
- At T=0: solid state: BCC lattice
- Ground state energy density:  $\varepsilon(\rho) = \frac{\sum_i E_{WS}}{\sum_i V_{WS}} = \frac{E_{WS}}{V_{WS}} = \min$



# Below drip: the outer crust

- $$\varepsilon_{WS}(n_B) = \min_Z \left( \frac{B(N,Z)}{V_{WS}} + \varepsilon_{el}(n_e) + m_p n_p + m_n n_n + \delta \varepsilon_{coul} \right)$$

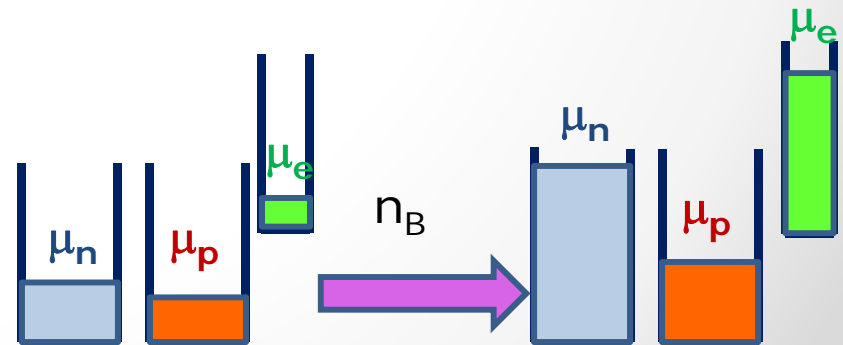
Only depends on  $B(N,Z) \Rightarrow$  the nuclear mass



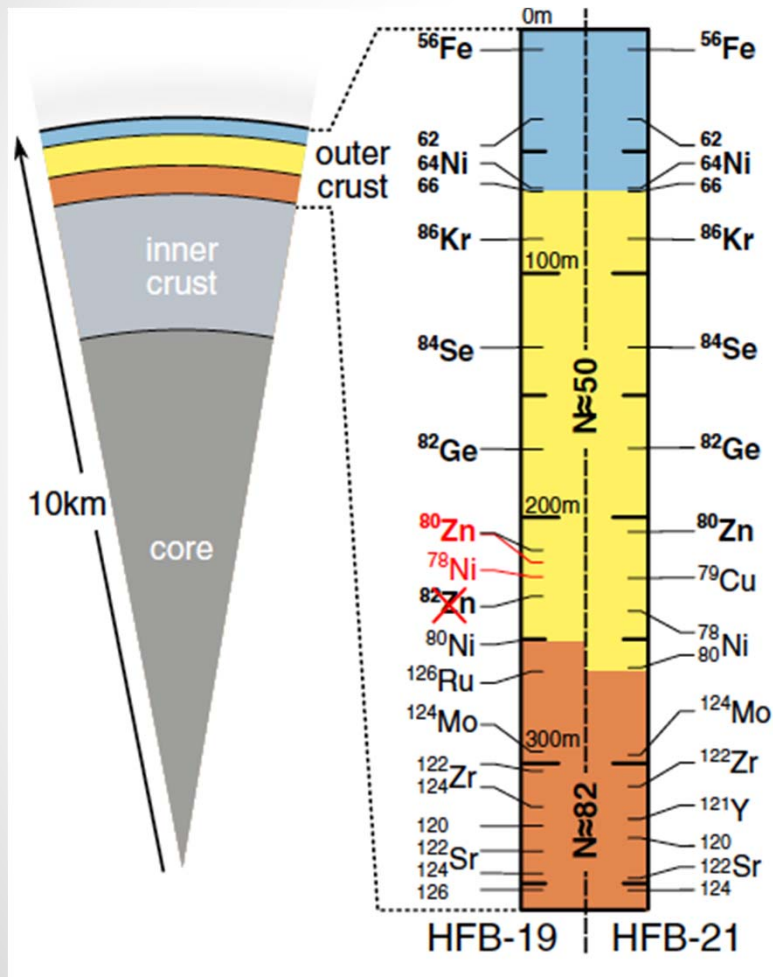
Kreim *et al.* Int. J. Mass Spectrometry 349, 63 (2013)

Matter is increasingly n-rich for increasing density!

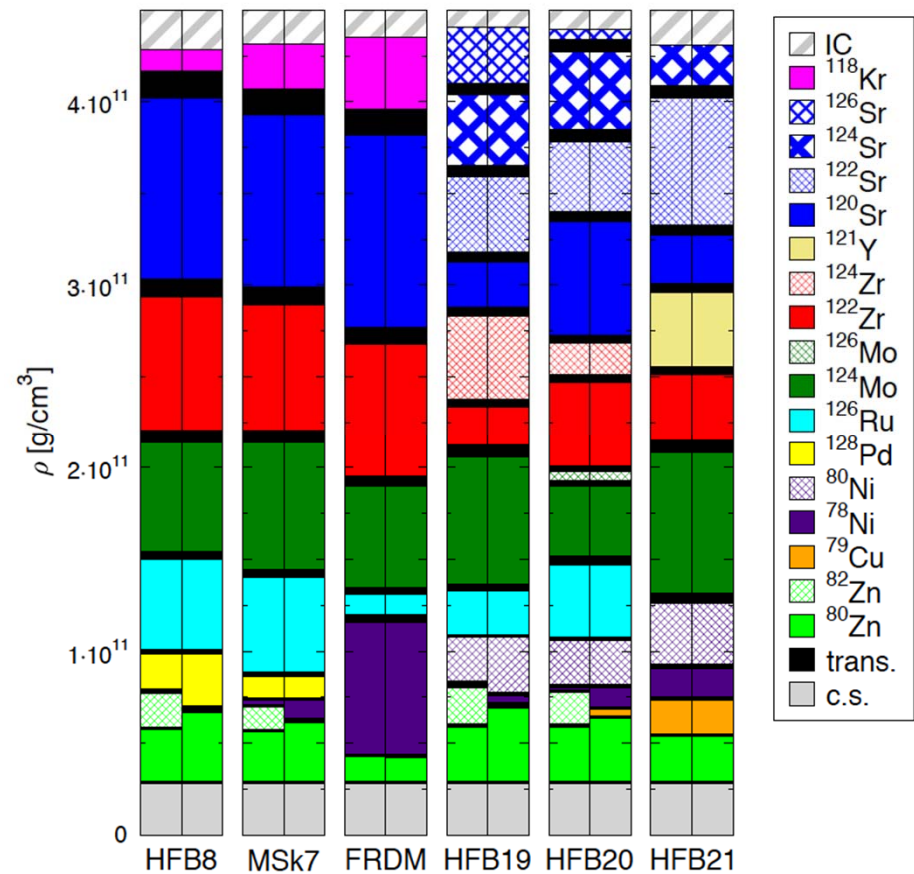
$$\mu_n = \mu_p + \mu_e \quad \mu_e \propto (n_e)^{1/3} = (n_p)^{1/3}$$



# Below drip: the outer crust



Wolf *et al.*, PRL 110, 041101 (2013)



Kreim *et al.* Int. J. Mass Spectrometry 349, 63 (2013)

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# The inner crust

- At sufficiently high densities, neutron drip occurs => nuclear modelling cannot be avoided
- **A model for the energy density**

Exp. (or DFT) mass

Nucleus-gas interaction

$$\varepsilon_{WS} = \frac{B(N,Z)}{V_{WS}} + \varepsilon_{HM}(n_{ng}) + \varepsilon_{el}(n_e) + \delta\varepsilon_{coul} + \delta\varepsilon_{nuc}$$

Hom. matter  
EoS

$(N, Z, V_{WS}, n_{ng})$   
variational variables

- **A variational problem for each  $(n_B)$**

$$d \left( \varepsilon_{WS} - \mu_n \left( \frac{N_{WS}}{V_{WS}} - n_n \right) - \mu_p \left( \frac{Z_{WS}}{V_{WS}} - n_p \right) \right) = 0$$

- **A set of coupled equations for  $N, Z, V_{WS}, n_{ng}$**

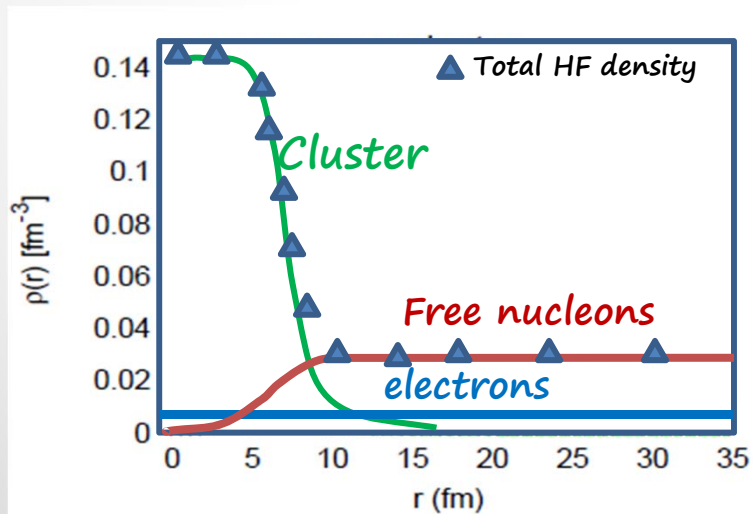


# Clusters in the medium

P. Papakonstantinou, et al. Phys.Rev.C 88(2013) 045805

## A density fluctuation?

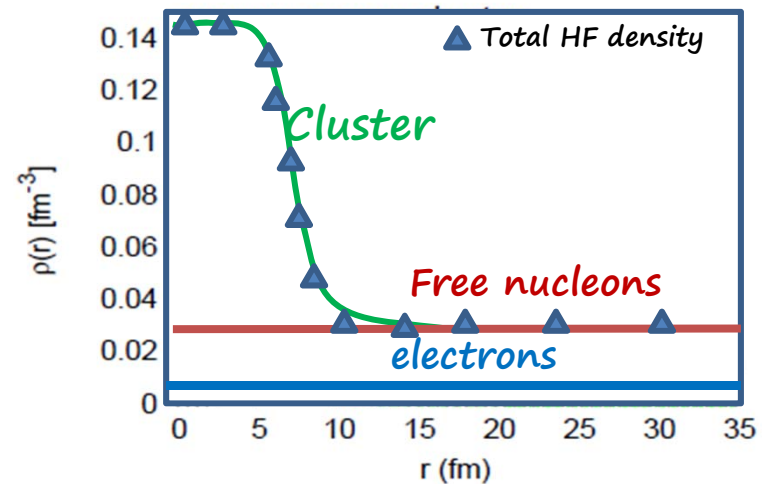
- LS, Shen, Hempel, Sumiyoshi, Mishustin, Raduta&FG...



r-cluster

## An ensemble of bound states?

- Roepke, Typel, FG



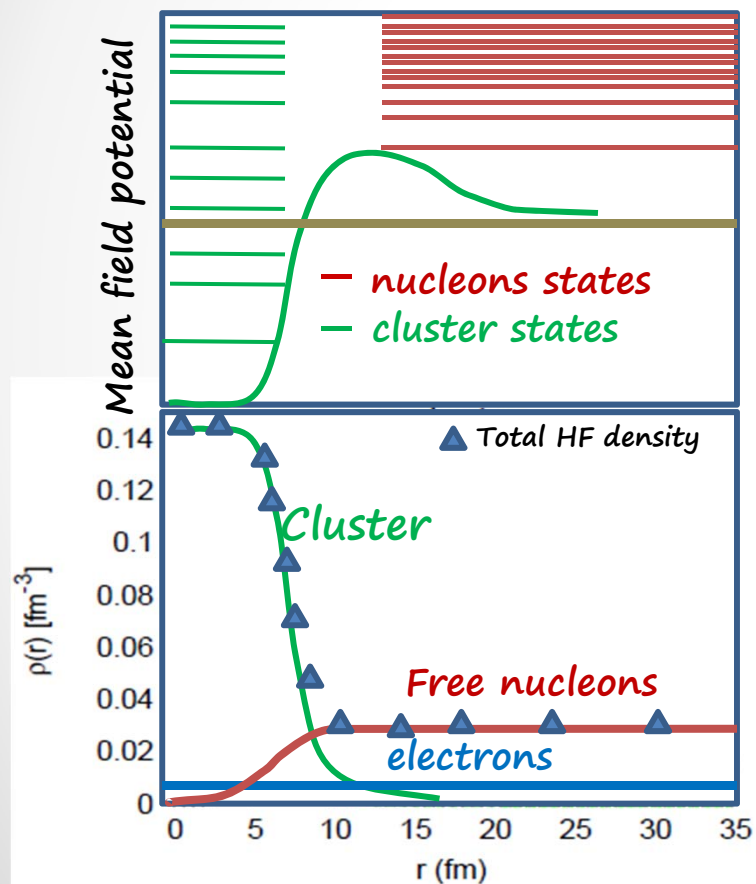
e-cluster

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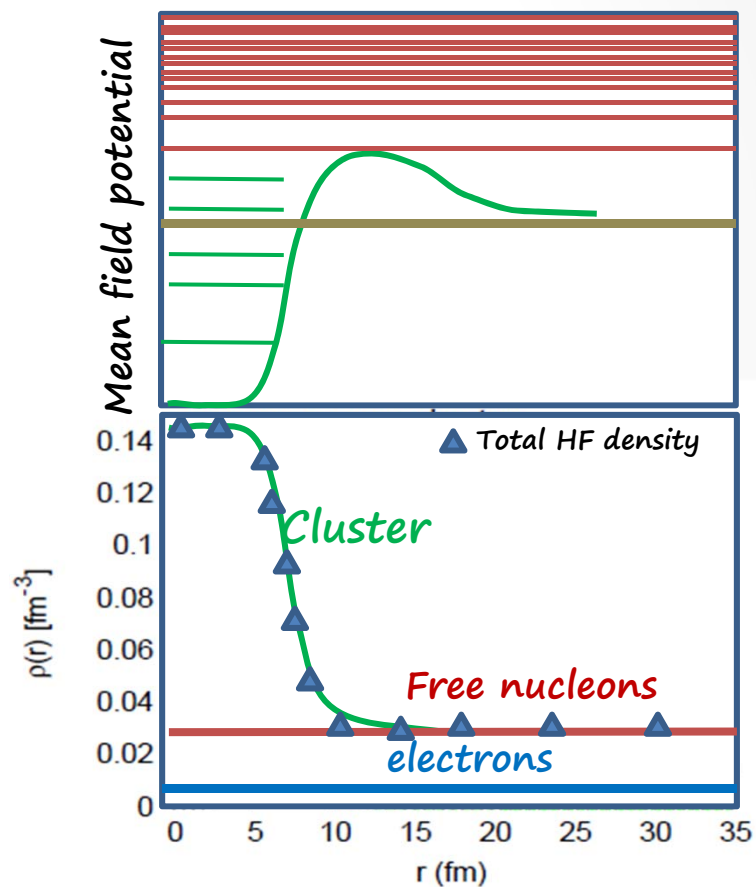
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r-cluster



e-cluster

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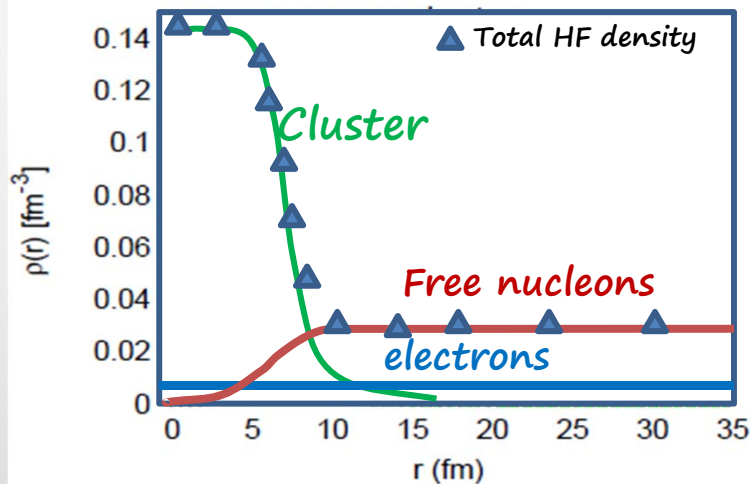
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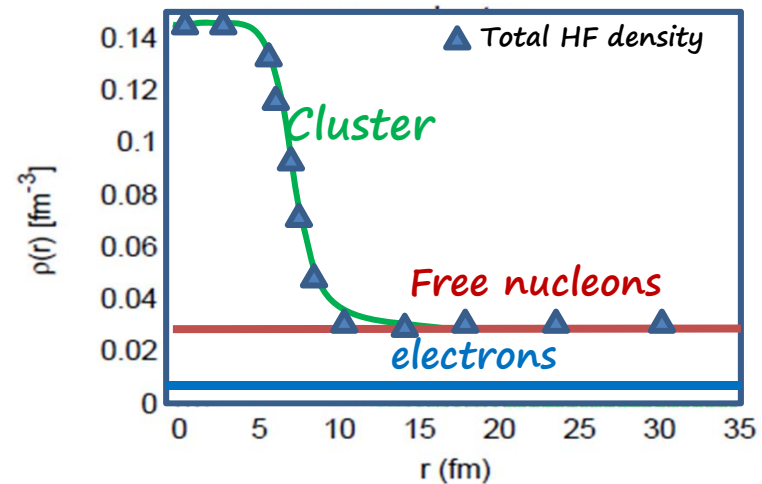
- LS, Shen, Hempel, Sumiyoshi, Mishustin, Raduta&FG...

- Roepke, Typel, FG

The two descriptions correspond to the same density profile  
⇒ They can be mapped to produce the same energy



r-cluster

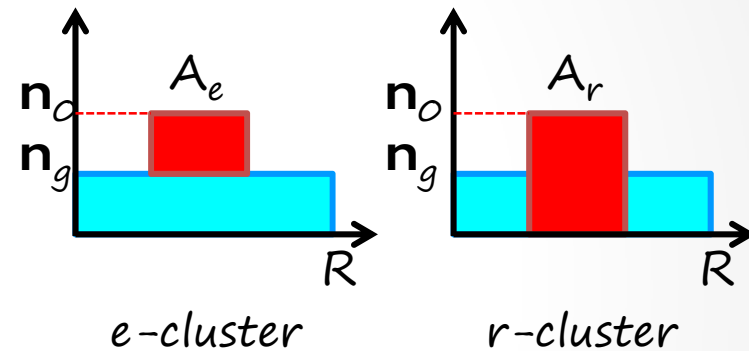


e-cluster

# Schematic mapping

- $A_e = A_r \left(1 - \frac{n_g}{n_0}\right)$
  - $A_{WS} = \begin{cases} A_e + n_g V_{WS} \\ A_r + n_g (V_{WS} - V_{cl}) \end{cases}$
  - $E_{WS} = \begin{cases} E_0 + \varepsilon_g V_{WS} + \delta E_e \\ E_0 + \varepsilon_g (V_{WS} - V_{cl}) + \delta E_r \end{cases}$
- $\Rightarrow \delta E_r - \varepsilon_g V_{cl} = \delta E_e$

No isospin for simplicity



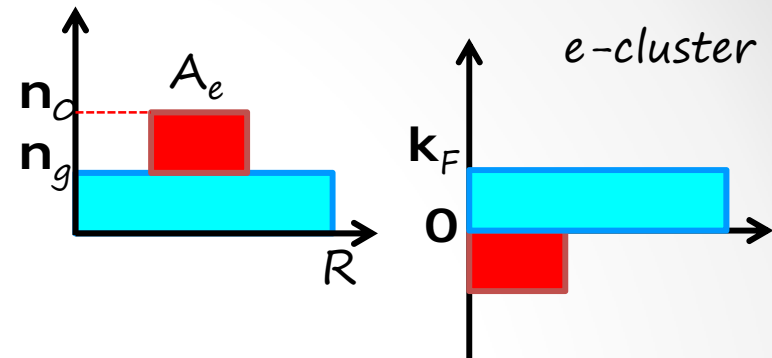
$\Rightarrow$  The in-medium binding energy shift in the r-representation can be mapped to the e-representation and vice-versa

# In-medium effects

- Pauli-blocking shifts**

(G.Roepke PRC79(2009))

The high-k states are occupied by the gas => they cannot be occupied by the bound quasi-particles



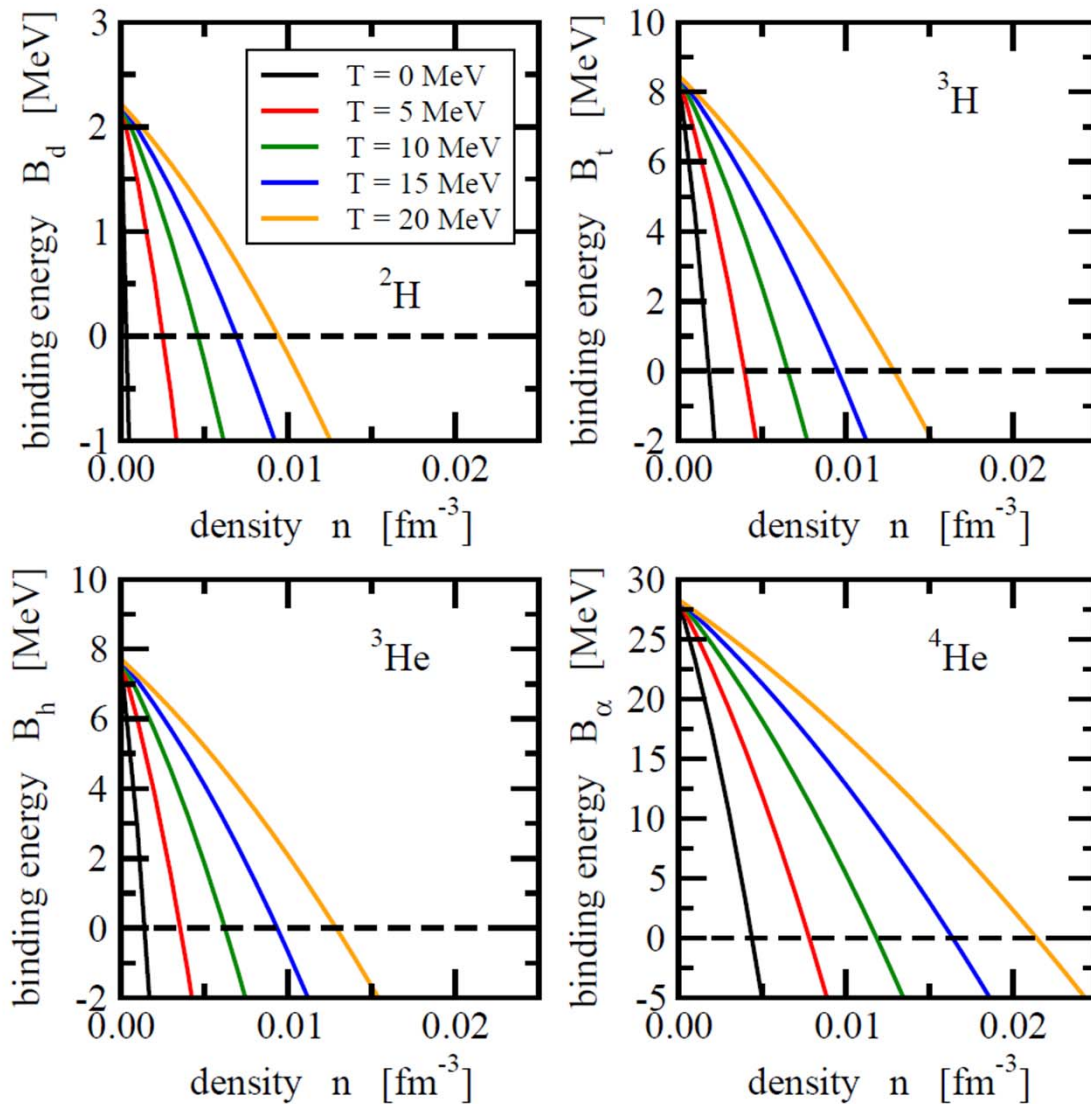
$$\sum_{i=1}^A e_i \varphi(1, \dots, A) - \sum_{i', j'} \sum_{j < i} (1 - f_i - f_j) V_{ij i' j'} \varphi(1', \dots, A') = 0$$

- Exemple: deuteron

$$\delta E_e = \frac{1}{N} \sum_q \varphi_d^*(q) \left[ f_n \left( \frac{P}{2} + q \right) + f_p \left( \frac{P}{2} - q \right) \right] V(q, q') \varphi_d(q')$$

- Jastrow wave function+separable interaction => analytical results up to A=4

# Mott density



# In-medium effects

- Thomas-Fermi shifts**

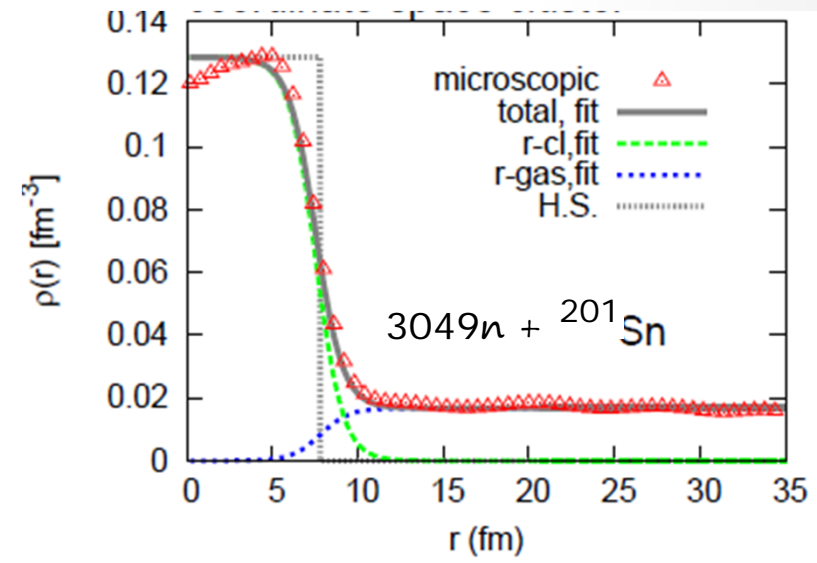
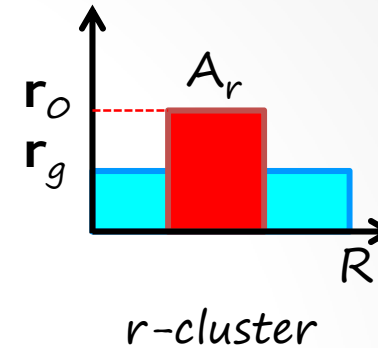
In the local density approximation, the in-medium correction to r-clusters is only a surface effect

$$\delta E_r = \delta E_{surf}$$

$$\delta E_{surf} = \int_0^{R_{WS}} d^3r \varepsilon[\rho(r)] - \varepsilon(\rho_0) \frac{A_r}{\rho_0} - \varepsilon_g V_{WS}$$

=> the mapping allows determining the bulk effect due to the Pauli-blocking mechanism

$$\delta E_e = -\varepsilon_g \frac{A_r}{\rho_0} + \delta E_{surf}$$

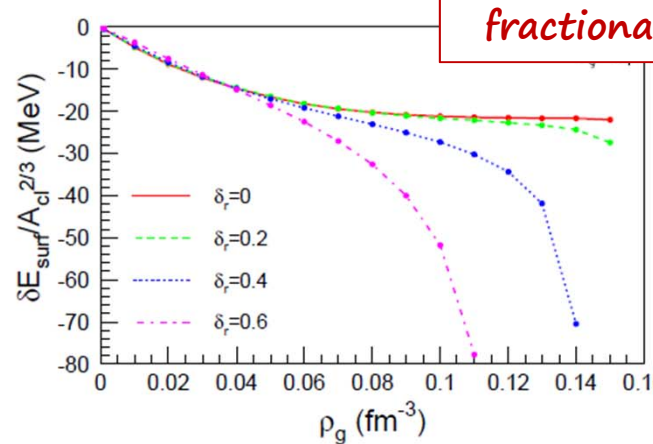
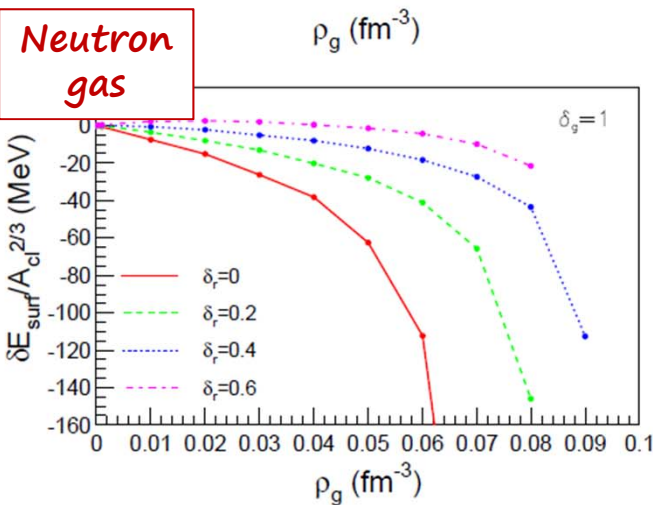
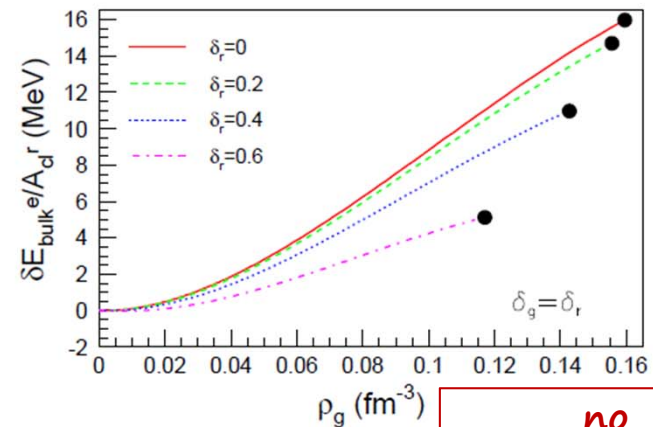
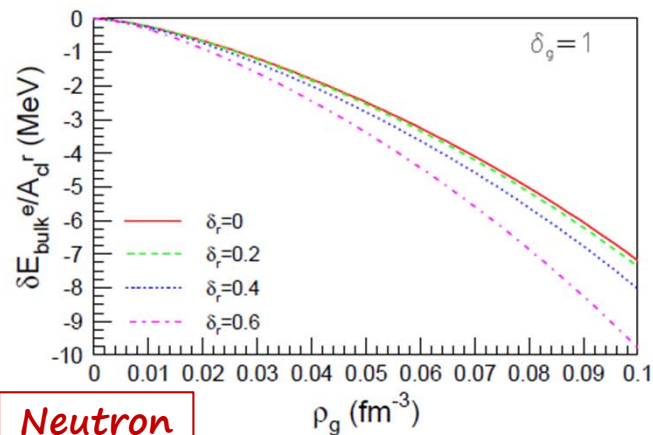


# Thomas-Fermi shifts

F.Aymard et al, EPJA 2015

**Sly4 Skyrme functional**

$$\delta = \frac{(\rho_{0n} - \rho_{0p})}{\rho_0}$$



Neutron gas

no fractionation



# Composition of the neutron star crust

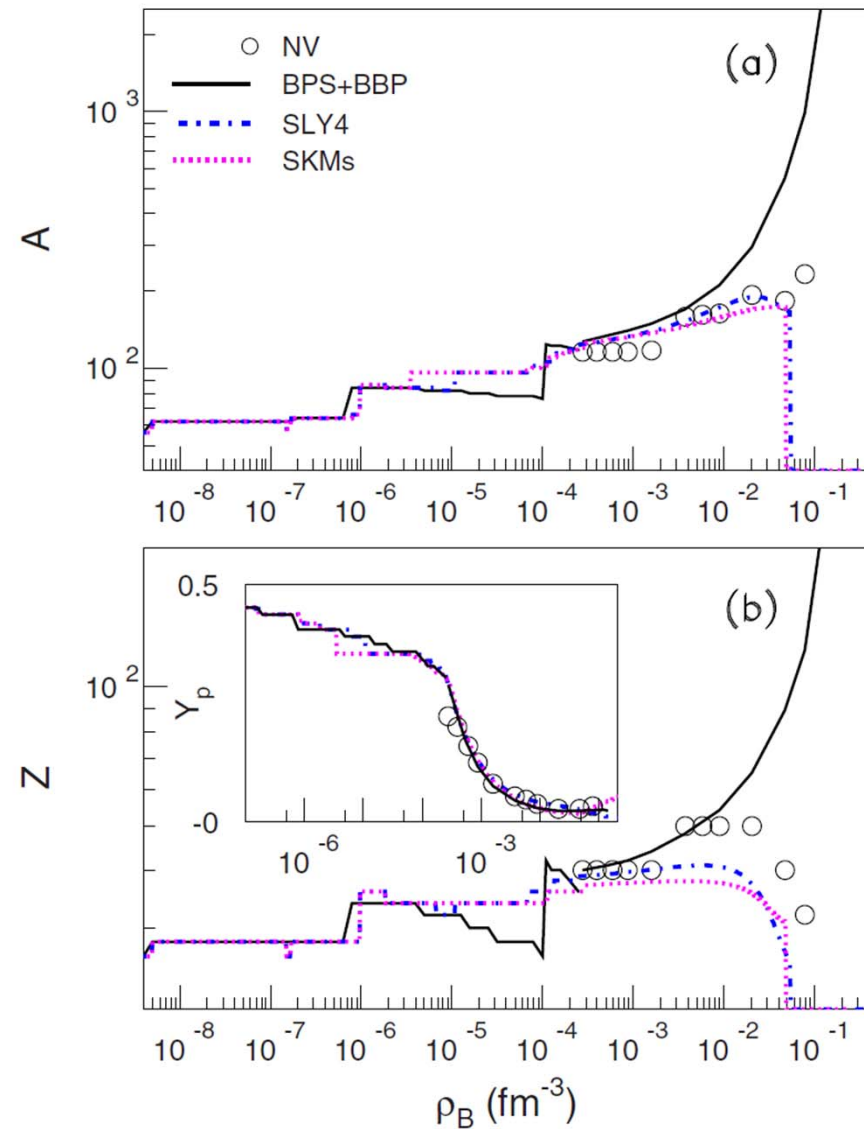
NV: *HF in the WS cell* (Negele&Vautherin)

BPS+BBP: *LDM* (Baym et al.)

Sly4

SKM\*

- Melting of nuclei in the dense medium
- (Small) effect of the effective interaction
- Shell effects important even after drip



- F.G., A.Raduta PRC 2016

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# $T > 0$ : the Single Nucleus Approximation

- A model for the **free** energy density

$$f_{WS} = \frac{B(N,Z) - TS(N,Z)}{V_{WS}} + f_{HM}(n_{ng}, n_{pg}, T) + f_{el}(n_e, T) + \delta f_{coul} + \delta f_{nuc}$$

$(N, Z, V_{WS}, n_{ng}, n_{pg})$  variational variables

- A variational problem for each  $(n_B, T)$

$$d \left( f_{WS} - \mu_n \left( \frac{N_{WS}}{V_{WS}} - n_n \right) - \mu_p \left( \frac{Z_{WS}}{V_{WS}} - n_p \right) \right) = 0$$

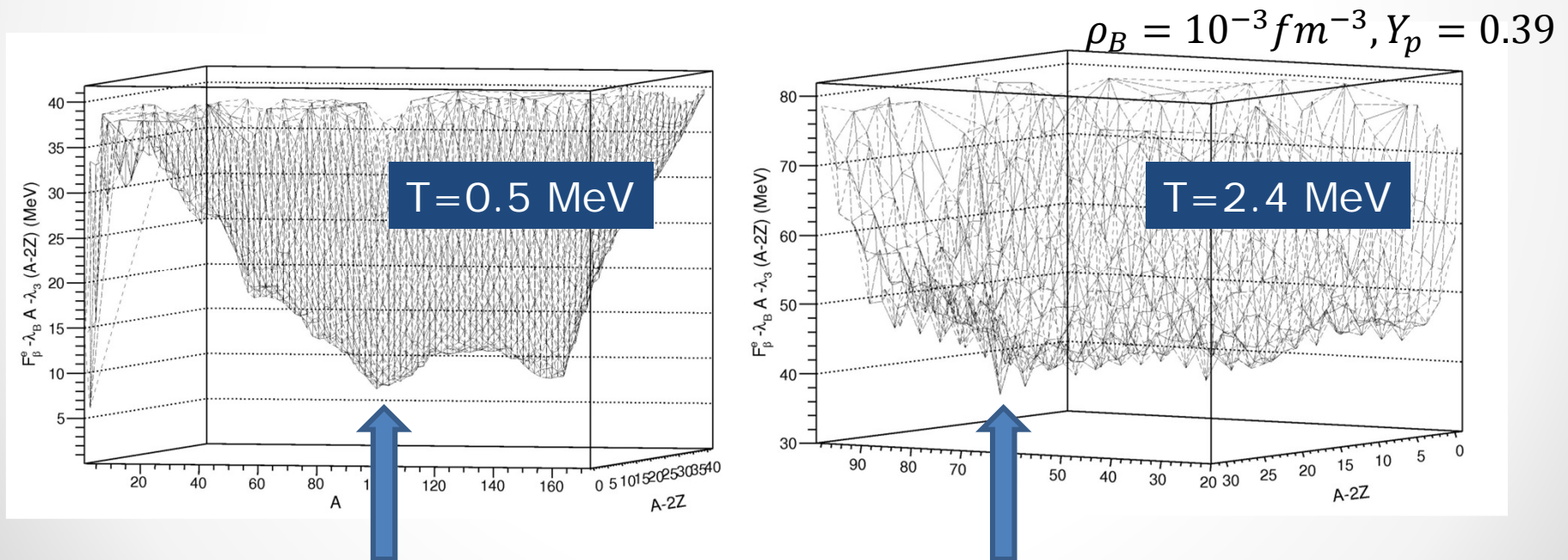
- A set of coupled equations for  $N, Z, V_{WS}, n_{ng}, n_{pg}$

J. M. Lattimer and F. D. Swesty, NPA 535, 331 (1991).

H. Shen, H. Toki, K. Oyamatsu, and K. Sumiyoshi, NPA 637, 435 (1998).

# $T > 0$ : the Single Nucleus Approximation

- It is the standard strategy for supernova simulations
- Still, it is a very poor treatment of the finite temperature problem.



The absolute minimum is not representative of  
the free energy landscape


# $T > 0$ : beyond the SN approximation

- One WS cell

$$d \left( f_{WS} - \mu_n \left( \frac{N_{WS}}{V_{WS}} - n_n \right) - \mu_p \left( \frac{Z_{WS}}{V_{WS}} - n_p \right) \right) = 0$$

$N, Z, n_{gn}, n_{gp}$  variational variables linked by the strict conservation law in the cell

- Many WS cells



$$d \left( T \sum_k p_k \ln p_k + (E_{tot} - \langle \hat{H} \rangle_V) - \mu_n (N_{tot} - \langle \hat{N} \rangle_V) - \mu_p (Z_{tot} - \langle \hat{Z} \rangle_V) \right) = 0$$

$$k = \{ n_i^{(k)}, N_i, Z_i \mid i = 1, \dots, N_k; N_{free}^{(k)}, Z_{free}^{(k)} \}$$

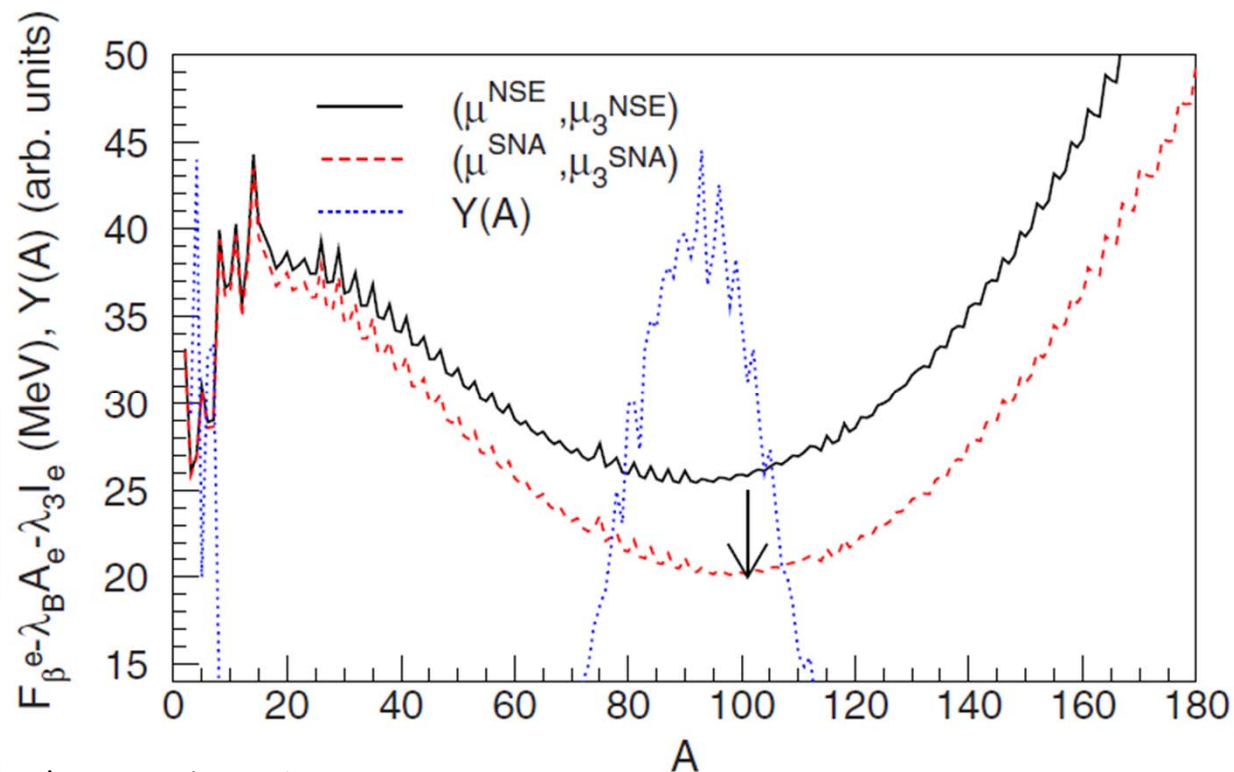
$$\langle n_{NZ} \rangle = \exp - \beta (B_m - TS - \mu_n N - \mu_p Z)$$

$N, Z$  variational variables linked by the loose conservation law in the cell through the global chemical potential

- ❖ Different equations at  $T > 0$
- ❖ Same ground state  $T = 0$  solution

# NSE versus SNA

- The Single Nucleus Approximation is valid as long as average values are concerned
- Still, deviations increase with density and temperature



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# A-Mass and radius: the TOV equation

Gravitational force on the shell per unit area:

$$F_g = -dmg = -\rho g dr$$

Pressure gradient through  $dr$ :

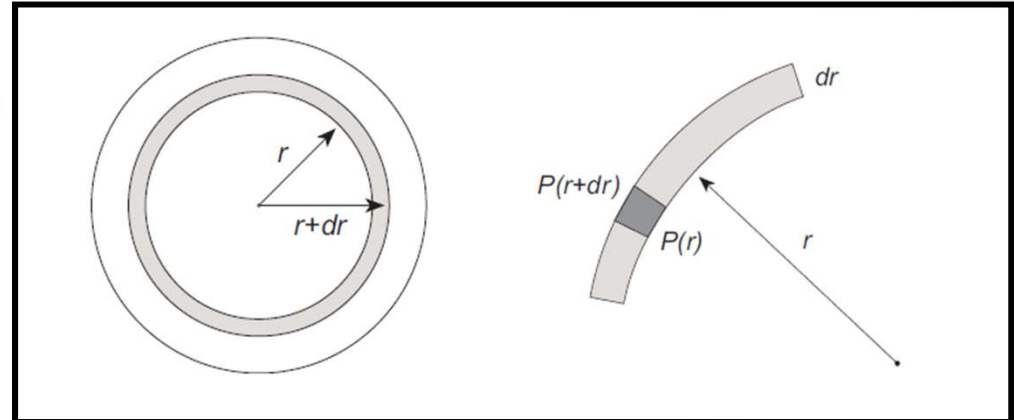
$$P(r) - P(r + dr) = -\frac{dP}{dr} dr = F_p$$

Hydrostatic equilibrium:

$$F_g + F_p = 0 \Rightarrow \frac{dP}{dr} = -\rho g = -\rho \frac{Gm}{r^2}$$

Mass-density relation

$$m(r) = \int_0^r dr 4\pi r^2 \rho(r) \Rightarrow \frac{dm}{dr} = 4\pi r^2 \rho(r)$$



Tolman-Oppenheimer-Volkoff: from general relativity

$$\frac{dP(r)}{dr} = -\frac{G}{r^2} \left[ \rho(r) + \frac{P(r)}{c^2} \right] \left[ M(r) + 4\pi r^3 \frac{P(r)}{c^2} \right] \left[ 1 - \frac{2GM(r)}{c^2 r} \right]^{-1}$$



# Mass and radius: the TOV equation

$$\begin{cases} \frac{dm}{dr} = 4\pi r^2 \rho(r) \\ \frac{dP(r)}{dr} = -\frac{G}{r^2} \left[ \rho(r) + \frac{P(r)}{c^2} \right] \left[ M(r) + 4\pi r^3 \frac{P(r)}{c^2} \right] \left[ 1 - \frac{2GM(r)}{c^2 r} \right]^{-1} \end{cases}$$

TOV equation

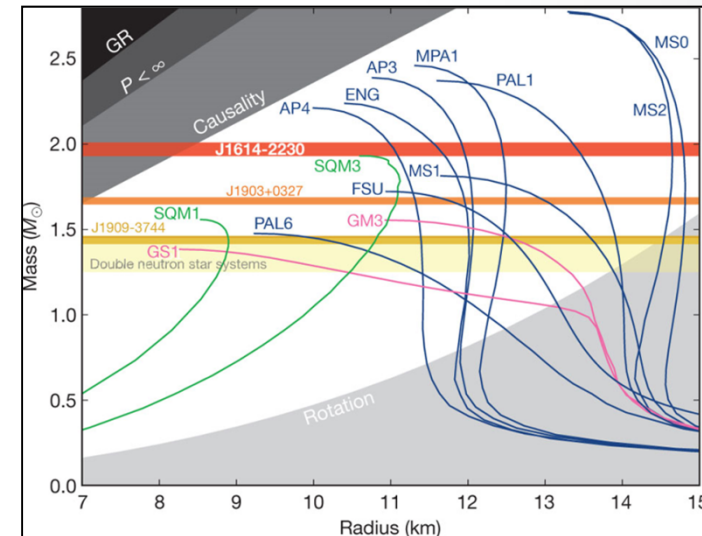
$$\begin{cases} m(r=0) = 0 \\ \rho(r=0) = \rho_c \\ P(r=0) \text{ from EoS} \end{cases}$$

Boundary conditions

$$\begin{cases} m(r+dr) = m(r) + dr \frac{dm}{dr} \\ P(r+dr) = P(r) + dr \frac{dP}{dr} \\ \rho(r+dr) \text{ from EoS} \end{cases}$$

Numerical solution

if  $P < \varepsilon$  stop  $\forall \rho_c, R = R_{max}, M = M(R_{max})$



**=> The M(R) curve depends only on the EoS**

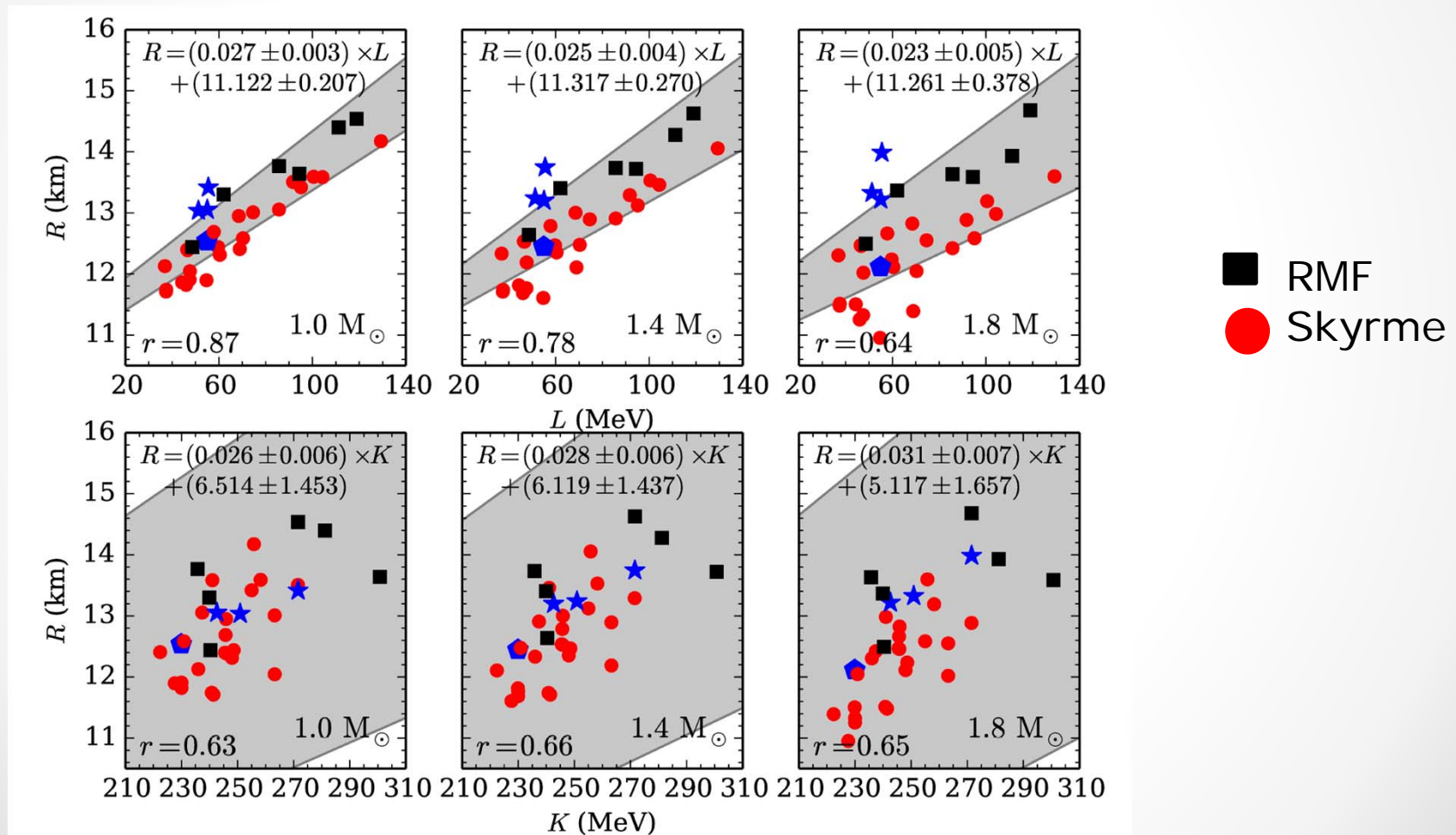
## Constraining the model parameters

- Definition of empirical parameters
  - Any EoS can be Taylor expanded

$$\begin{aligned} e(\rho, \delta) &= e_{IS}(\rho) + e_{IV}(\rho)\delta^2 + O(\delta^3) \\ &= \left( \mathbf{E}_0 + \frac{1}{18} \mathbf{K}_0 x^2 + O(x^3) \right) + \left( \mathbf{J}_0 + \frac{1}{3} \mathbf{L}x + \frac{1}{18} \mathbf{K}_{sym} x^2 + O(x^3) \right) \delta^2 \\ p &= \rho^2 \frac{de}{d\rho} \end{aligned}$$

$$\begin{aligned} \delta &= \frac{\rho_n - \rho_p}{\rho} \\ x &= \frac{\rho - \rho_0}{\rho_0} \\ e &= \frac{\varepsilon_B}{\rho} \end{aligned}$$

# NS radius and the EoS

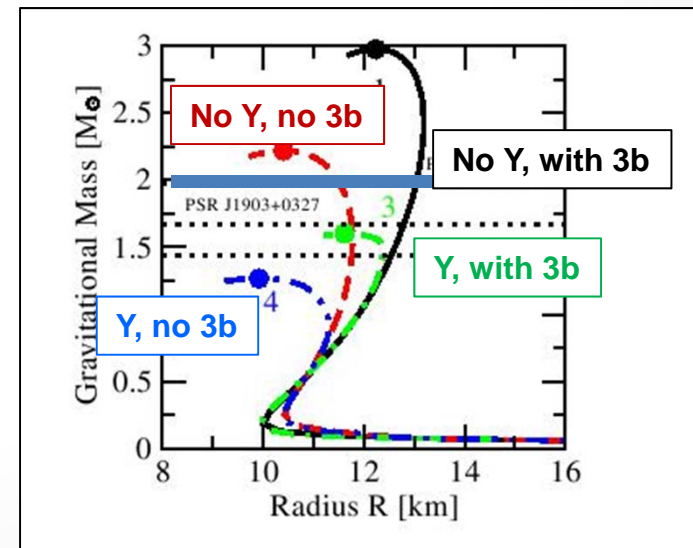
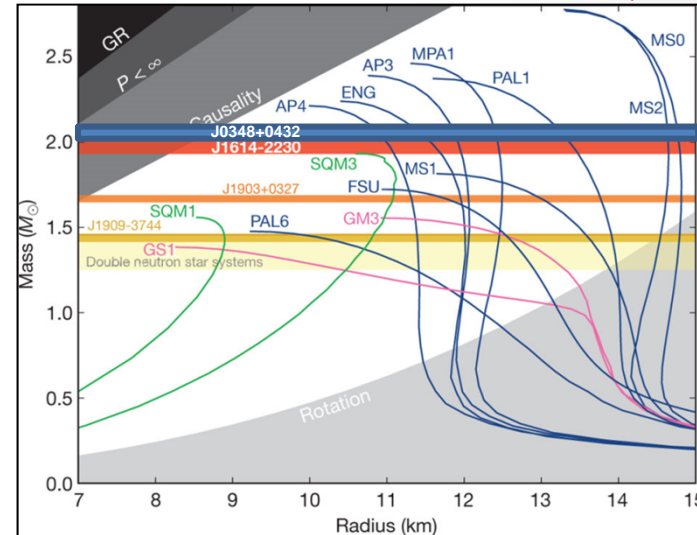


# NS mass and the hyperon puzzle

*P. Demorest et al., Nature 467 1081 (2010).*

*J. Antoniadis et al., Science, 340, 6131 (2013).*

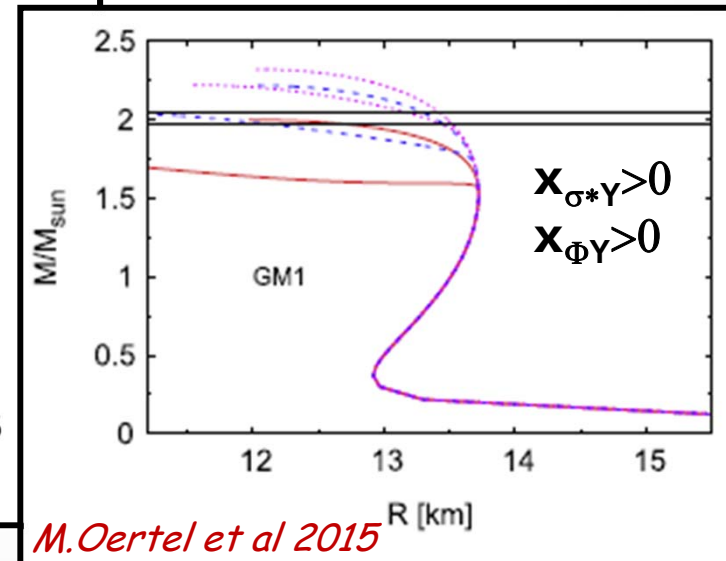
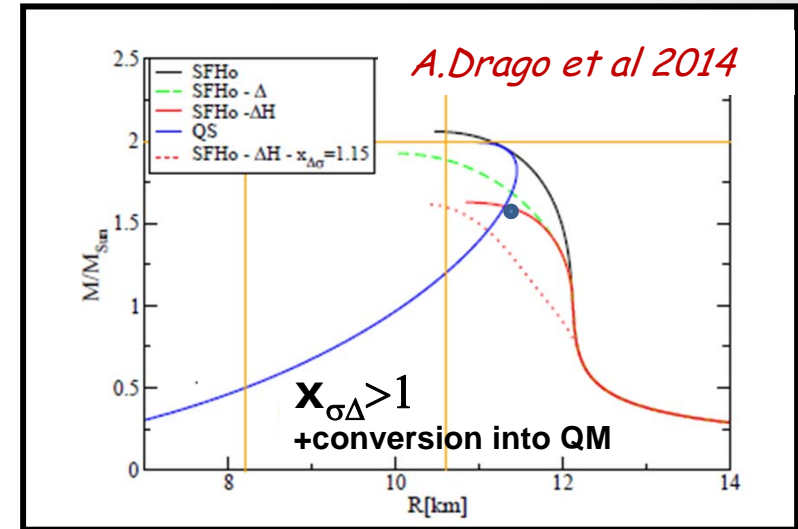
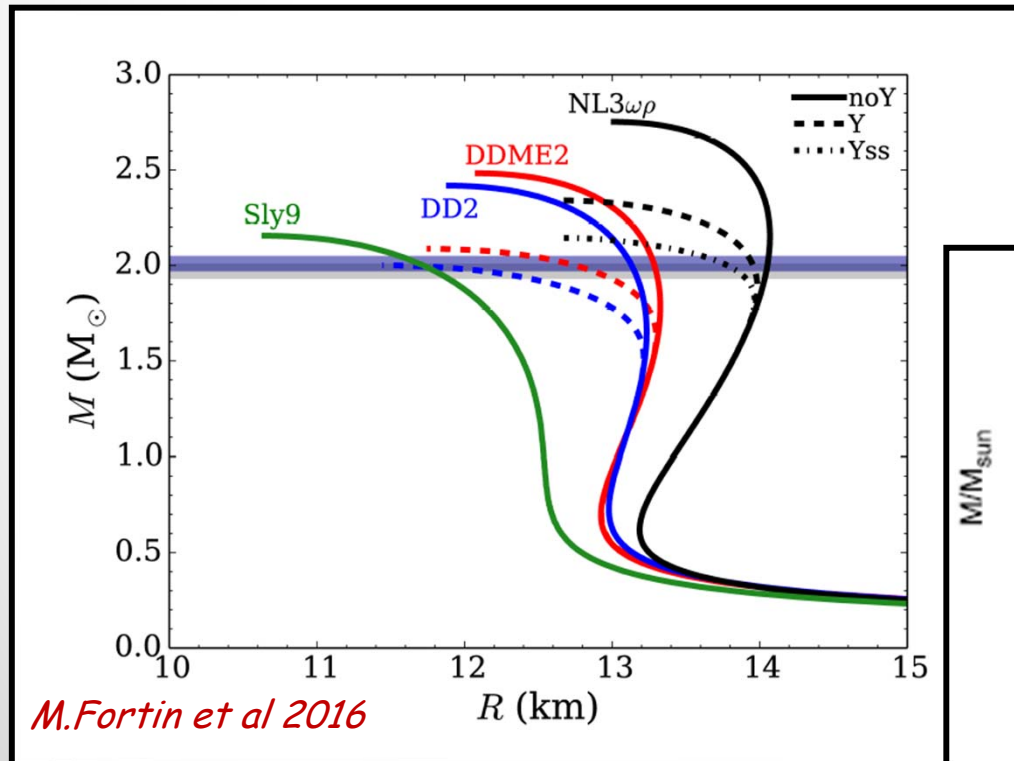
- The highest mass is associated to the highest central density.
- If  $\mu(\rho) > m_Y c^2 + U_Y$ , hyperon Y should appear
- The appearance of a new degree of freedom softens the EoS => reduces the mass
- $2M_\odot$  neutron star should not exist if  $U_Y$  is calculated with microscopic BHF based on experimental bare interactions



*I. Vidana et al, Europhys.Lett.94:11002,2011*

# The hyperon puzzle: solutions

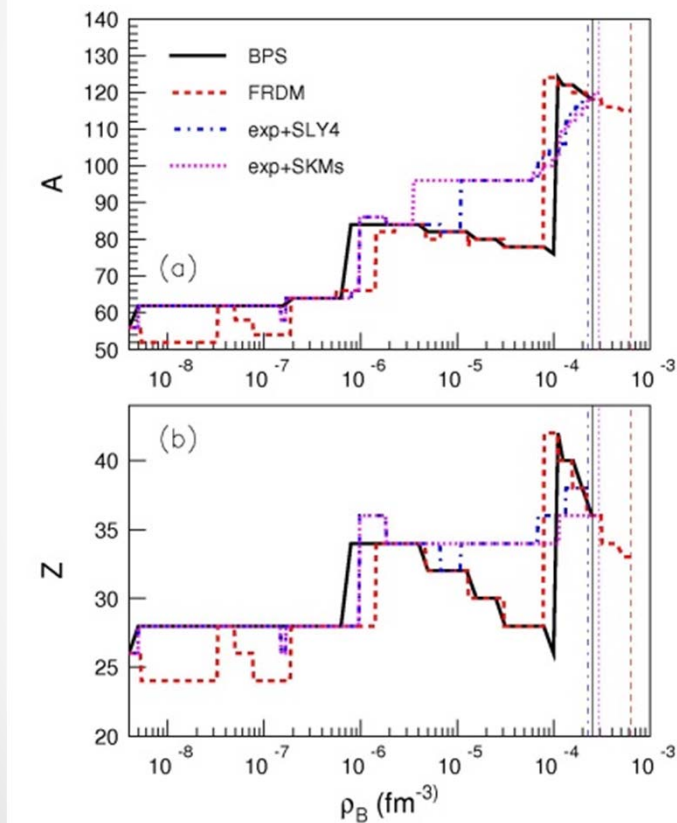
- Stiffening of the EoS above  $\rho_0$ ?
- New strangeness couplings at high density?
- Transition to quark matter?



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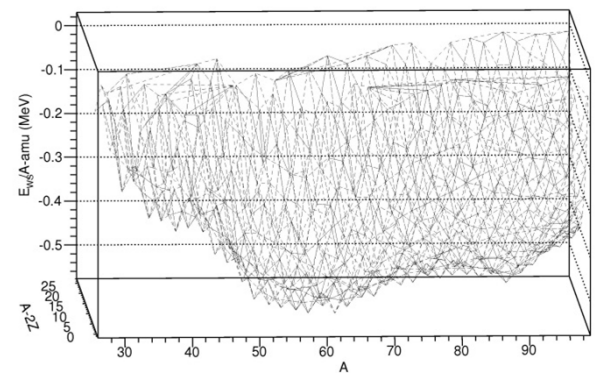
# B-The importance of mass measurements



FRDM: *up to date LDM* (Moller&Nix)  
 BPS: *LDM Myers-Swiatecki* (Baym et al.)

{ SLY4  
 SKM\* } *This work with exp data when available*

Many quasi-degenerate minima!

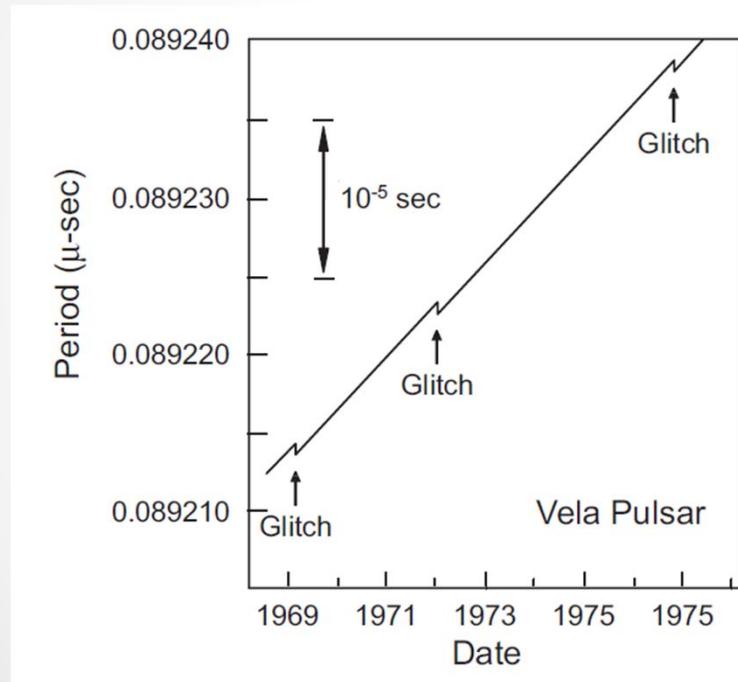


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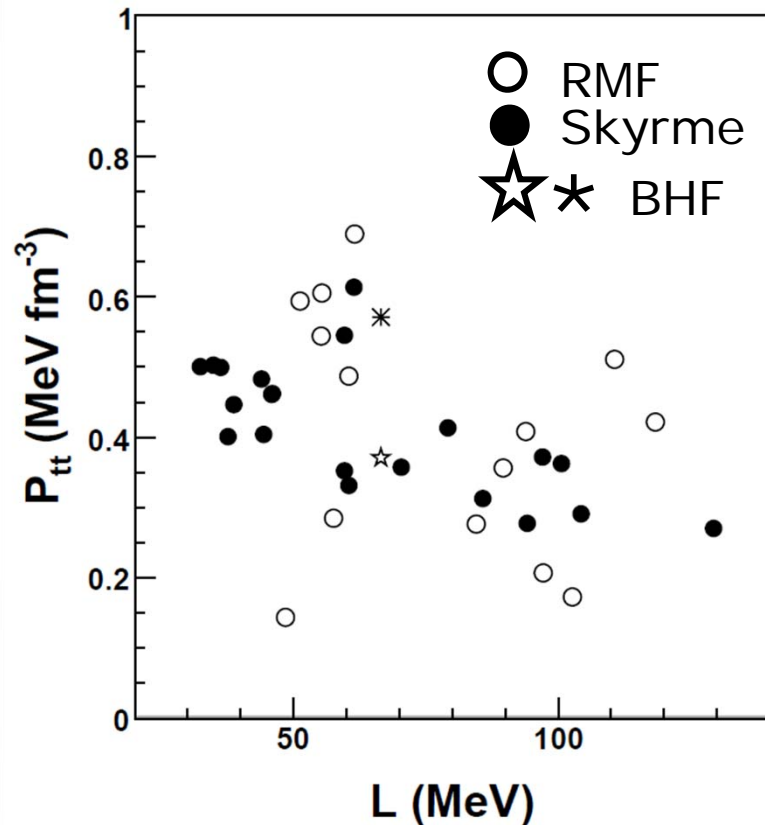


# C-Pulsar glitches



- In some pulsars “glitches” are observed where the spin rate suddenly jumps to a higher value
- Glitches indicate some internal rearrangement has altered the rotation rate by a small amount.
- Sudden unpinning of the superfluid vortices from the crystal lattice during the slowing down due to the differential rotation between the fast vortices and the slow star
- Angular momentum transfer to the star which spins up

# C-Pulsar glitches



C. Ducoin et al, PRC 2012

- $I_c/I > 0.07$  to explain Vela data

$$I \equiv \frac{J}{\Omega} = \frac{8\pi}{3} \int_0^R r^4 e^{-\nu(r)} \frac{\bar{\omega}(r)}{\Omega} \frac{(\mathcal{E}(r) + P(r))}{\sqrt{1 - 2GM(r)/r}} dr$$

$$I_{\text{crust}} = \frac{8\pi}{3} \int_{R_t}^R r^4 e^{-\nu(r)} \tilde{\omega}(r) \frac{(\mathcal{E}(r) + P(r))}{\sqrt{1 - 2GM(r)/r}} dr .$$

$\Rightarrow P_t > 0.5 \text{ MeV fm}^{-3}$

**Results are extremely model dependent**

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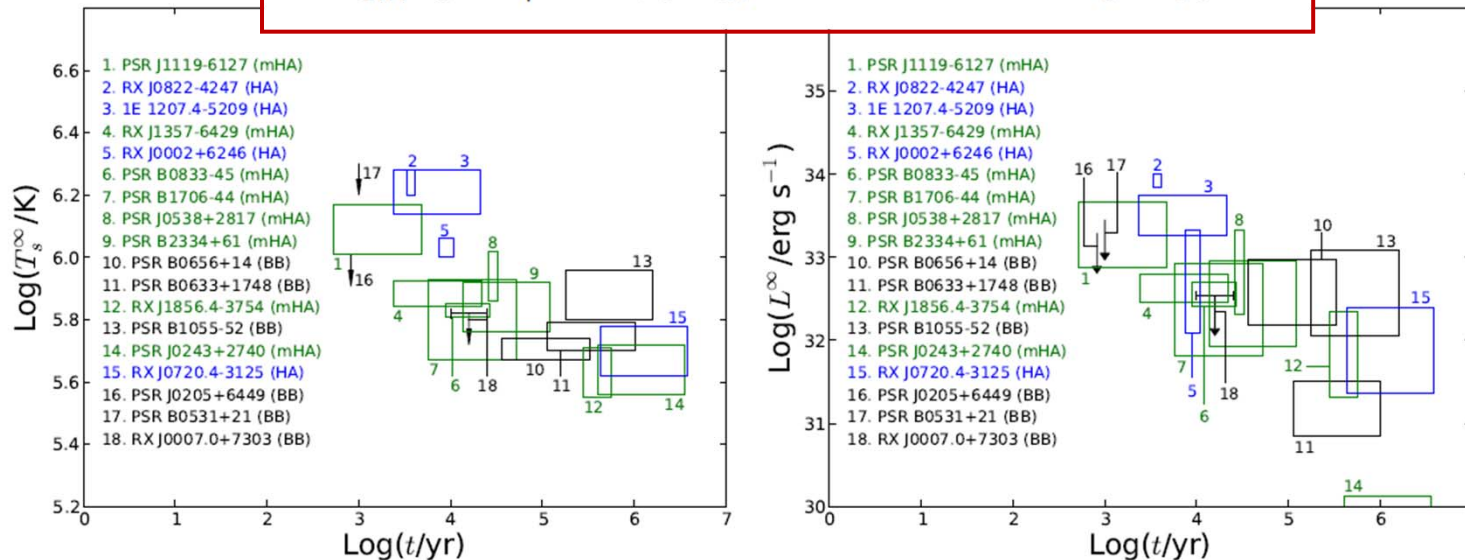
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# D-Neutron star cooling

- Neutron stars are born hot, and cool down via neutrino emission
- Cooling curve can be inferred from luminosity measurements via atmosphere modelling
- Cooling depends on the neutrino emissivity and the heat capacity

$$\frac{L_r}{4\pi\kappa r^2} = -\sqrt{1 - \frac{2Gm}{rc^2}} e^{-\Phi_g} \frac{\partial}{\partial r} (T e^{\Phi_g}),$$

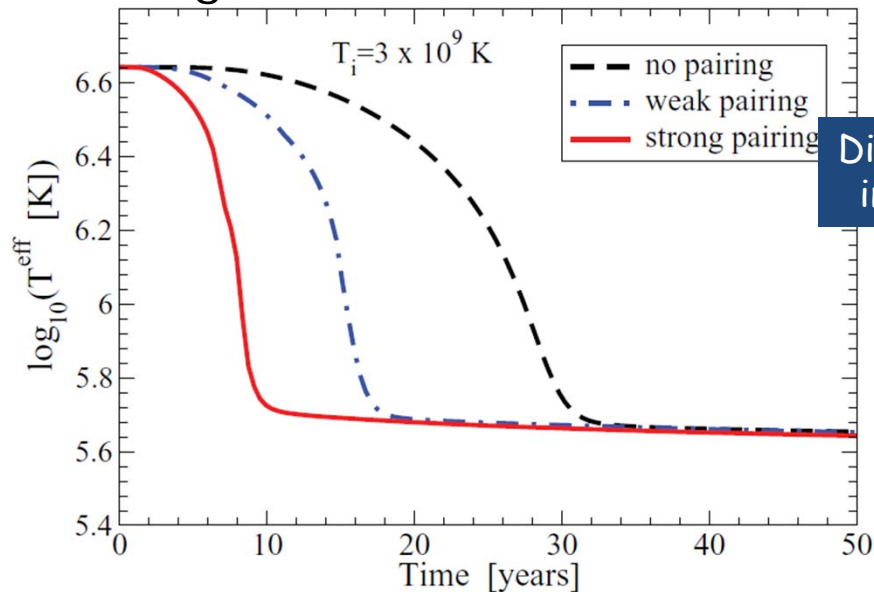
$$\frac{1}{4\pi r^2 e^{2\Phi_g}} \sqrt{1 - \frac{2Gm}{rc^2}} \frac{\partial}{\partial r} (e^{2\Phi_g} L_r) = -Q_\nu - \frac{C_v}{e^{\Phi_g}} \frac{\partial T}{\partial t},$$



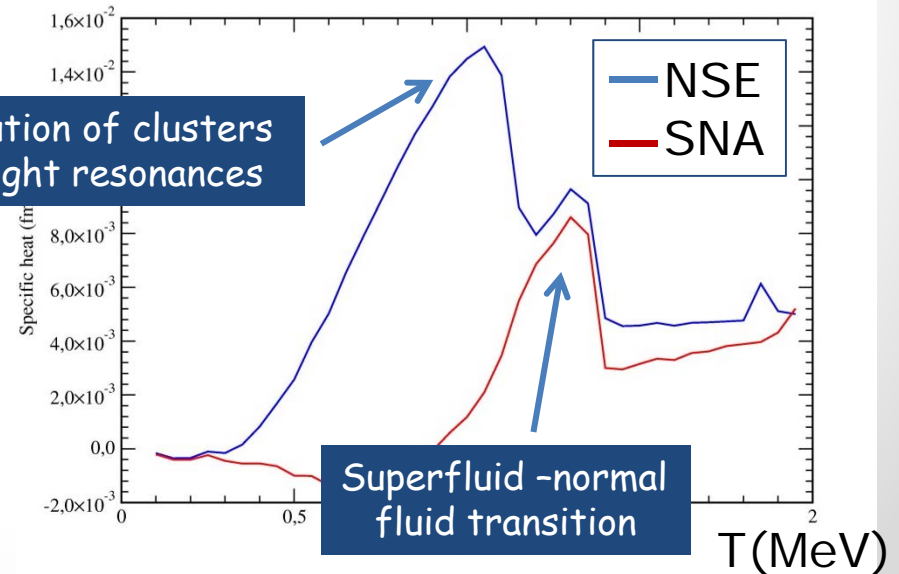
# D-Neutron star cooling

- The cooling of very hot proto-neutron star is dominated by the heat capacity of the crust
- $^1S_0$  n- superfluidity is the key ingredient, but in-medium effects on the cluster distributions can play a role
- Unfortunately observations are not available

J. Margueron 2005



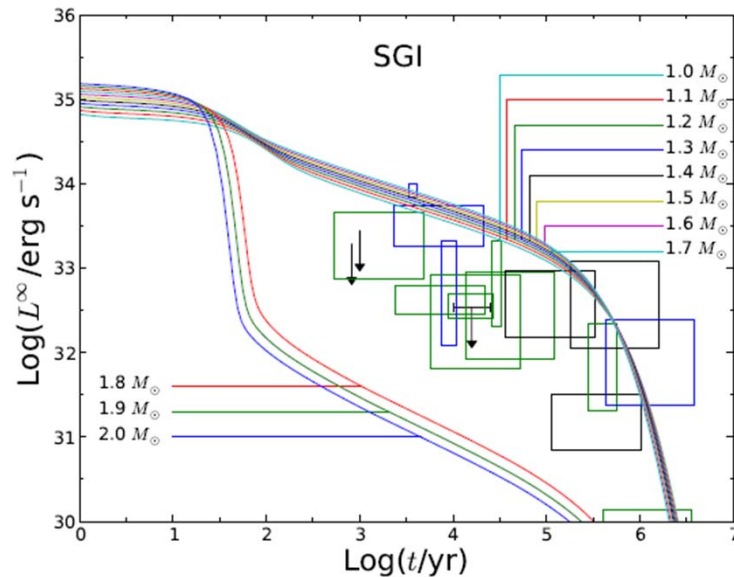
S. Burrello 2016



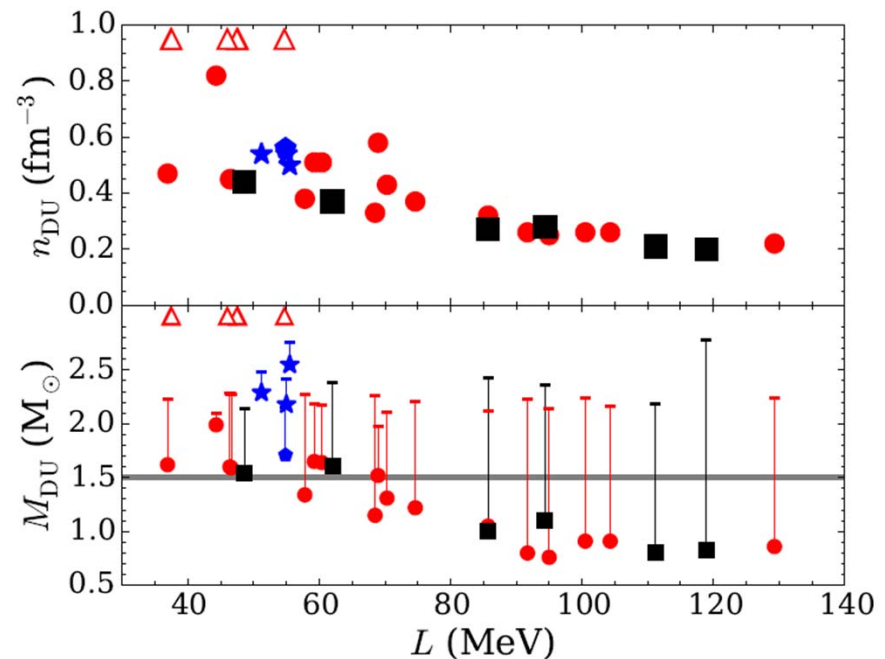
# D-Neutron star cooling

- The  $\nu$  emissivity dramatically depends on the possibility of DURCA:  
 $n \rightarrow p + e + \nu$  and  $p + e \rightarrow n + \nu$
- Momentum conservation implies  $p_{Fn}(\rho) \leq p_{Fp}(\rho) + p_{Fe}(\rho) \Rightarrow$  needs a minimum proton fraction
- The minimum proton fraction allowing DURCA is determined by the EoS
- Enhanced cooling seems excluded, but the subject is still under debate

Lim 2015



Fortin 2016

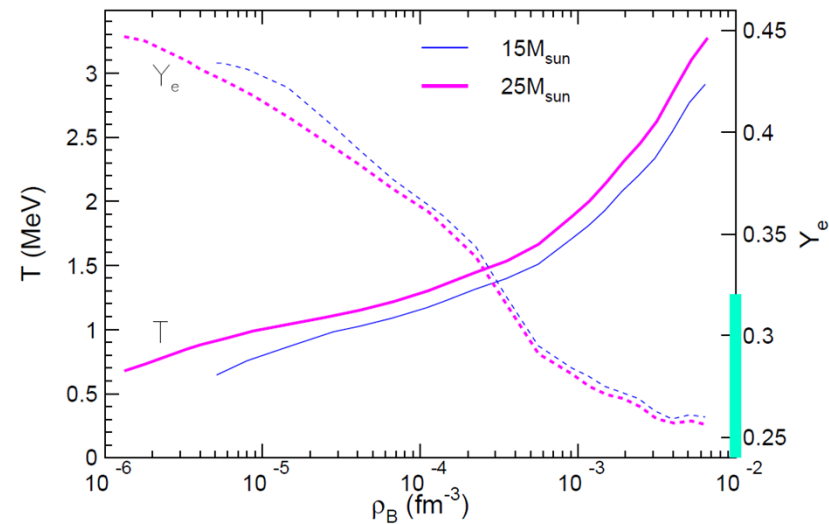


# Lecture II: nuclear physics in the neutron star crust and observational consequences

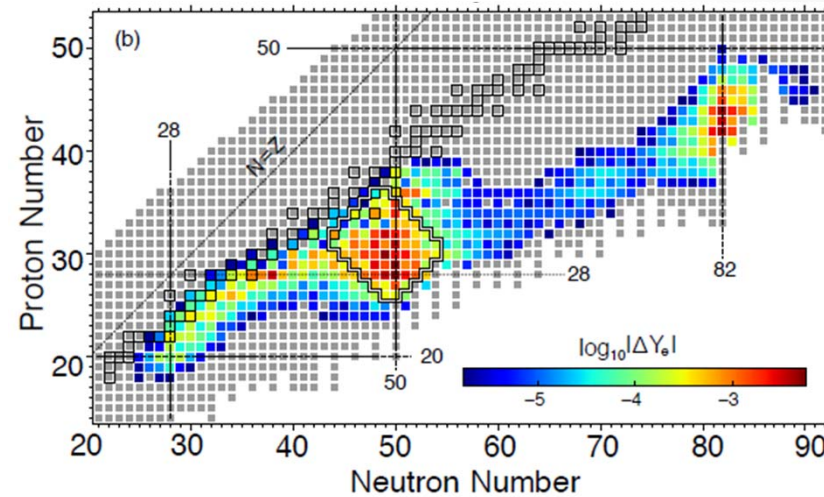
1. The Wigner-Seitz cell and the outer crust
2. The physics of the inner crust
3. Extension to finite temperature
- 4. The impact of nuclear physics on compact stars**
  - a. Mass, radii => EoS parameters
  - b. Crust structure => nuclear masses
  - c. Pulsar glitches => crust-core transition
  - d. Cooling => superfluidity and symmetry energy
  - e. Core collapse => weak processes in n-rich nuclei**
  - f. GW emission => EoS parameters

# E-Electron capture and core collapse

- Matter becomes increasingly neutron-rich during core-collapse because of electron capture on nucleons and nuclei
- In the late stage of the collapse, matter is essentially constituted by exotic nuclei around the  $N=50$  and  $N=82$  magic numbers



A.Raduta 2015

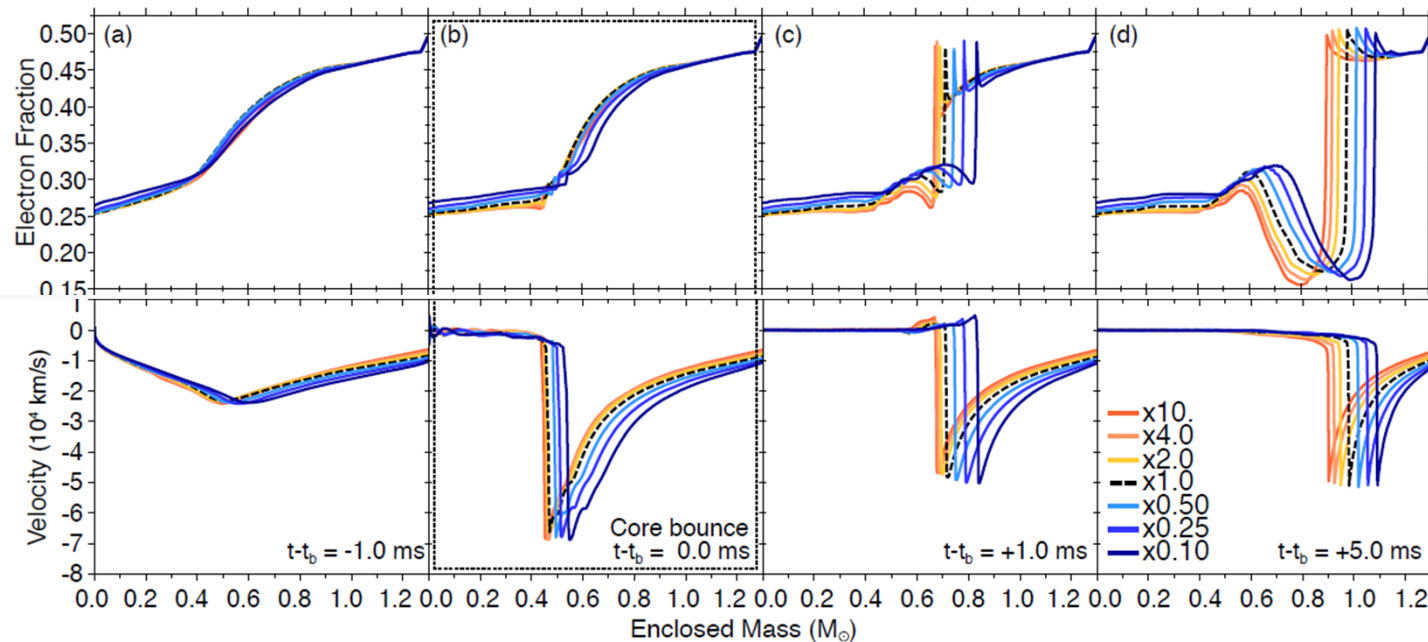
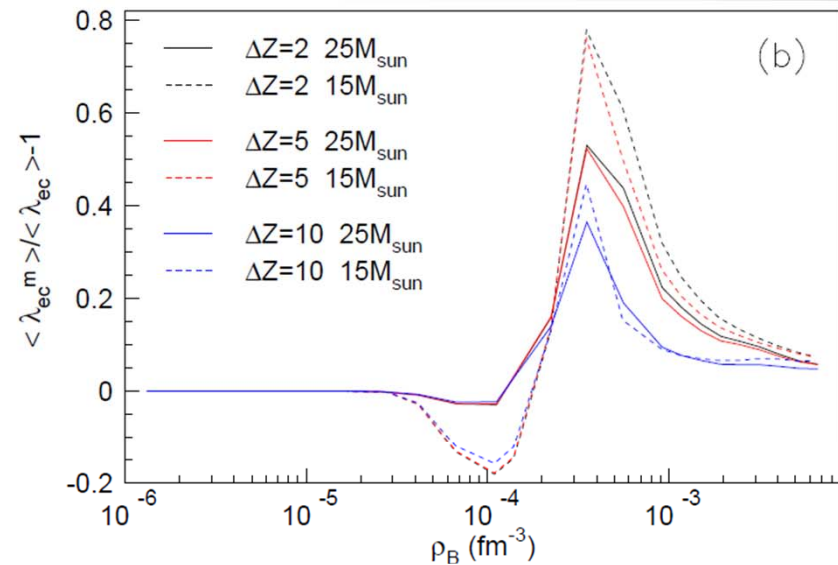


C.Sullivan 2015



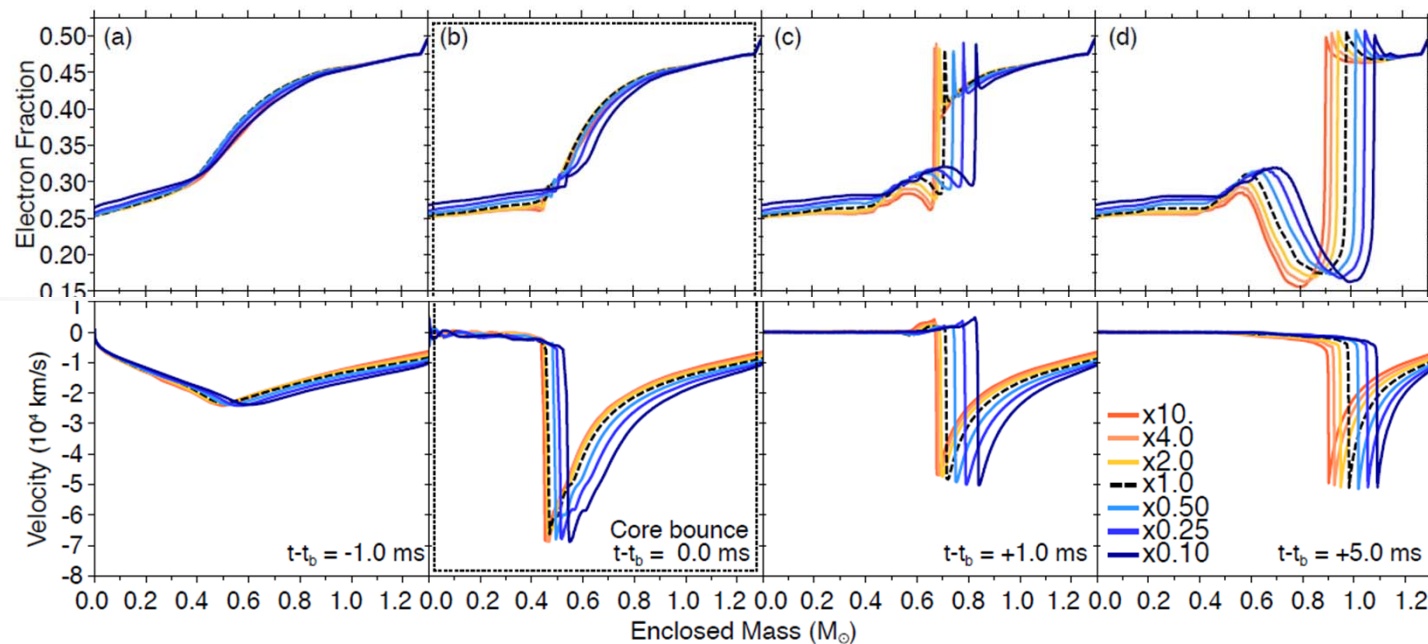
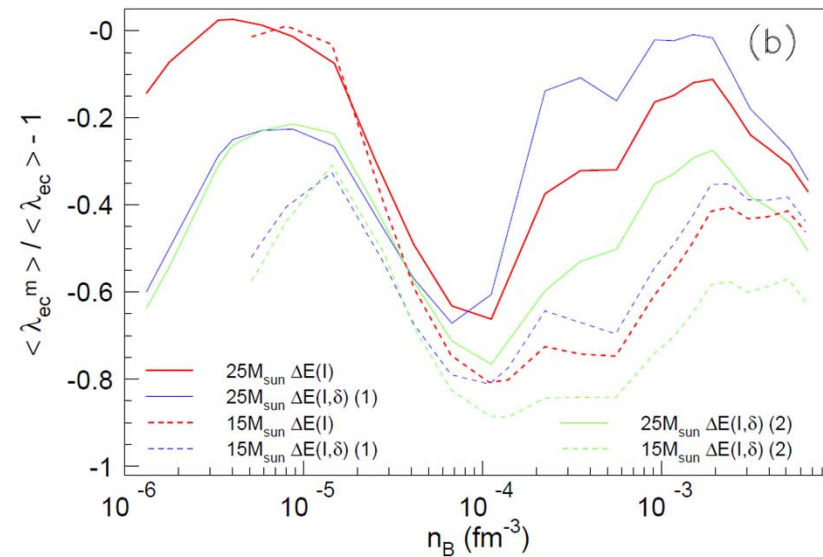
# E-Electron capture and core collapse

- The supernova evolution crucially depends on the e-capture rate.
- In turn, this depends on the mass of exotic  $N=50$  nuclei and their  $\beta$ -decay properties.



# E-Electron capture and core collapse

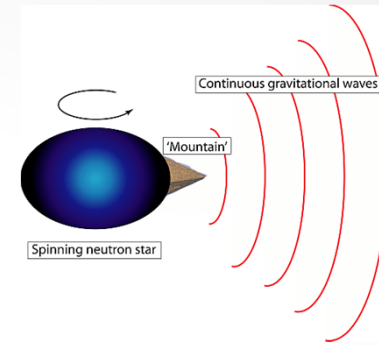
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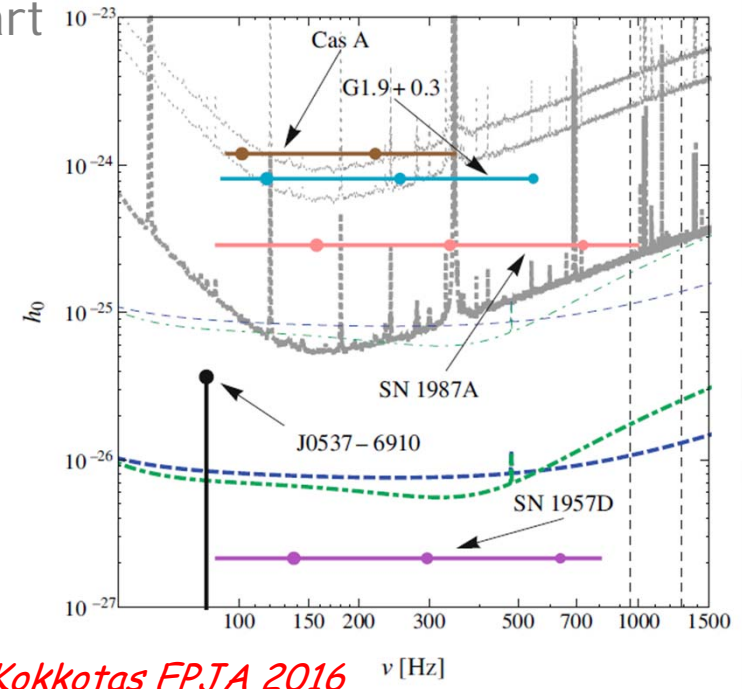
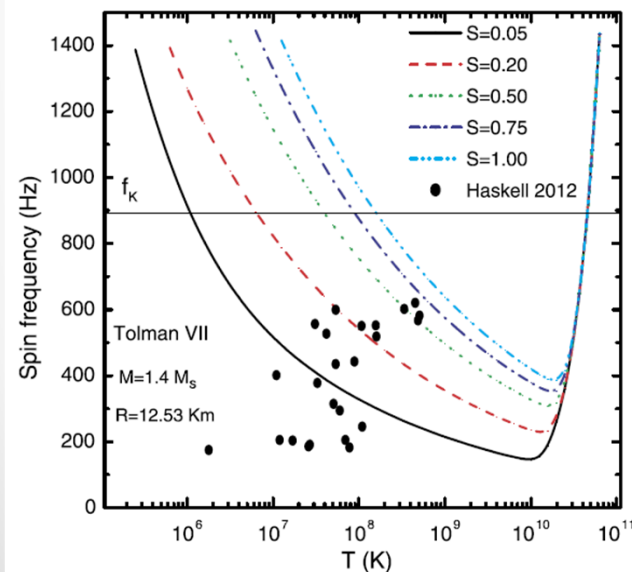
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# F- EoS and GW signals



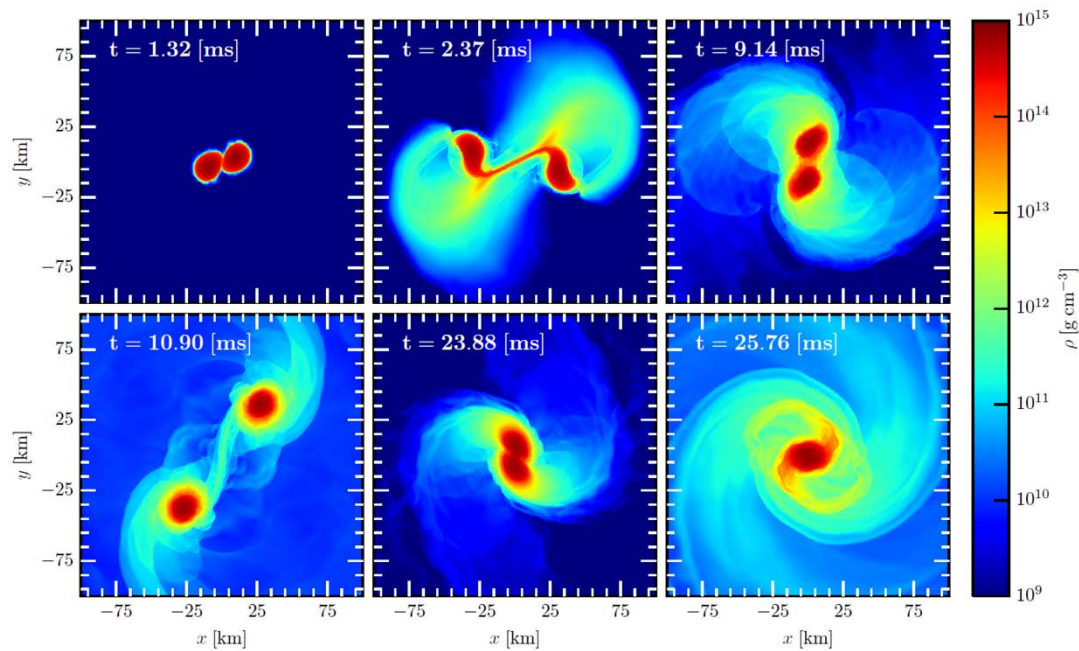
- Spinning NS with asymmetric deformations ( $\sim 1-10$  Hz)
  - Elastic strains in the crust or magnetic fields in the core
  - Too weak for aLIGO and ET
- Unstable r-modes in young sources ( $\sim 100-500$  Hz)
  - Undamped by viscous dissipation if  $T$  and  $\nu$  are high enough
  - Potentially detectable + EM counterpart
  - Very complex modelling



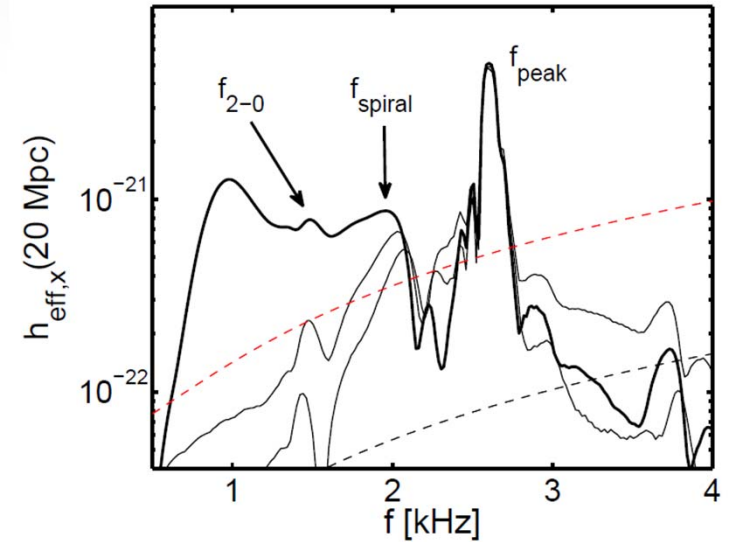
*C.D.Kokkotas EPJA 2016*

# F- EoS and GW signals

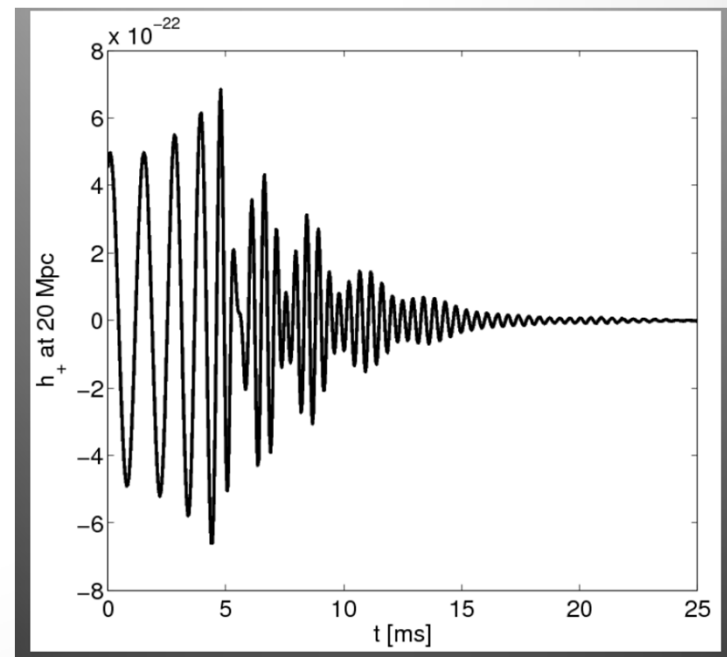
- NS mergers ( $\sim 100$ - $500$  Hz)



*A.Radice et al ArXiv 1601.02426*

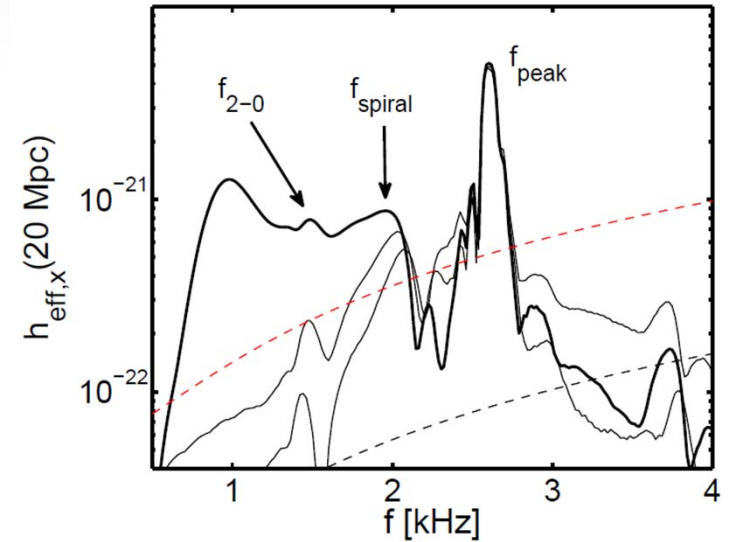


*A.Bauswein, arXiv:1508.05493*



# F- EoS and GW signals

- NS mergers ( $\sim 100$ -500 Hz)
  - fundamental quadrupole fluid mode ( $f$ -peak) of the differentially rotating post-merger remnant
  - Detectable by aLIGO and ET (40/year)
  - Strongly correlated to the radius  $\Rightarrow$  EoS
  - Robust signature



*A. Bauswein, arXiv:1508.05493*

*F. Foucart et al, PRD93(2016)*

